

1197.0226

ASG

PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In Re Application of: Shinji Aki et al.

Serial No.: 09/869,264

Group Art Unit: Not Yet Assigned

Filed: June 27, 2001

Examiner: Not Yet Assigned

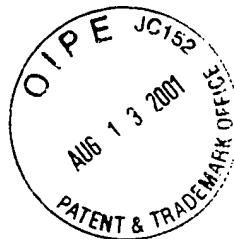
For: Process for Producing Carbostyryl Derivatives

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1. Preliminary Amendment
 2. Appendix to Amendment of August 13, 2001

Dated August 13, 2001

Docket No.: 1197-226

ASG/VFLaClere - Mail Drop 656
183301_1



AUG 13 2001

(Due Date: None)

Oct 8/14/01 JS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Shinji Aki et al.) Group Art Unit: Not Yet Assigned
)
Application No.: 09/869,264) Examiner: Not Yet Assigned
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Filed: June 27, 2001)
)
For: Process for Producing Carbostyryl)
Derivatives)
)

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

PRELIMINARY AMENDMENT

Prior to the examination of the above application, please amend this application
as follows:

IN THE SPECIFICATION:

Page 3, replace the paragraph beginning on line 2 with the following new
paragraph:

According to the above-mentioned known process, the yield of the compound of
general formula (I) is as low as about 50 to 74%, because there is also formed a
compound in which the tetrazole derivative of general formula (III') has reacted not only
with the hydroxyl group of the carbostyryl derivative of general formula (II) but also with
the 1-position of the carbostyryl derivative of general formula (I) simultaneously. Since
the thus formed contaminative impurity is difficult to remove, production of a compound
of general formula (I) having a high purity has required a complicated process of
purification.